## United States Court of Appeals for the Second Circuit



## APPELLANT'S APPENDIX

# 75-7222

In The

United States Court of Appeals

For The Second Circuit

ELIAS LESTER, M.D.,

Petitioner-Appellant,

VS.

EMLYN I. GRIFFITH, Regents Committee On Discipline,

Respondent-Appellee.

P15

On Appeal from the United States District Court for the Eastern District of New York

#### APPELLANT'S APPENDIX

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PAGINATION AS IN ORIGINAL COPY

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#### DOCKET ENTRIES

4 7	DOCKET ENTRIES
001	ELIAS LESTER, M. D. vs. EMLYN I. GRIFFITH, etc.
DATE	NR. PROCEEDINGS
18-74	PETITION OF ELIAS LESTER, M. D., FILED.
-19-75	BY BRAMITIT I OTHER TO CHAIL TO THE TO THE TOTAL TO THE TOTAL TO THE TOTAL TO THE TOTAL TO
	BY BRAMWELL, J. ORDER TO SHOW CAUSE FILED why a judgment should not
	be entered in favor of the petitioner, etc. (returnable March 14,
2-21-75	1975 at 10:00 A.M.) - Order attached to petition above. Affidavit of Personal Service filed. (attached to above order)  (2)
-3-75	Letter of John J. O'Grady, Assistant Atty, Con filed detect 2 20 or
	addressed to Dr. Lester re adjournment of this matter from March 14, 19
	to March 21 1975 (oc. Bestele T)
3-24-75	AFFIDAVIT OF JOHN I O'CRADY TITE
3-21-75	AFFIDAVIT OF JOHN J. O'GRADY FILED in opposition.  Before BARTELS, J. Case called Plaintiff's matter (5)
X	of date carred, framiliar 8 morton 16 DENTER
(4)	Court orders action DISMISSED pursuant to Rule 12 h (2) and (3).
3-21-75	BY BARTELS, J.: ORDER OF DISMISSAL FILED, without costs and without
	prejudice.
4-7-75	Minutes of the stenographer filed
4-7-75	Notice of Abneal filed (from order of water of
4-7-75	Copy of instructions, etc., together with forms C and D were on the
	Copy of instructions, etc., together with forms C and D were on this day handed personally to Dr. Lester, re preparation of record, etc.
4-8-75	
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LESTER, M. D. ELIAS ELIAS LESTER, M.D.

EMLYN I. CREFFITH - RESPONDED REGENTS COMMITTEE ON DISCIPLINE 261 Madison Ave. (15th floor) New York, N.Y. 10016

28 U.S.C. SEC. 1343 (3)

CAUSE

42 U.S.C. SEC. 1985 (3)

(Related Cases: 74-C-1051 and 75-C-58)

ATTORNEYS

Pro Se 310 Keap Street Brooklyn, N.Y. 11211

CHECK		STATISTICAL CARDS		
HERE	DATE	RECEIPT NUMBER	C.D. NUMBER	CARD DATE MAILED
IF CASE WAS				JS-5
FORMA				JS-6
PAUPERIS				

## ORDER TO SHOW CAUSE WHY RESPONDENT SHOULD NOT BE ENJOINED FROM REVOKING PETITIONER'S M.D. LICENSE AND NOTICE (FILED FEBRUARY 19, 1975)

UNITED STATES DISTRICT COURT

EASTERN DISTRICT OF NEW YORK

BROOKLYN, N.Y. 11201

PRESENT HONORABLE: BARTETS, J

INDEX NO: 75C 917

ELIAS LESTER, M.D. ----PETITIONER
310 KEAP ST. PRO SE
BROOKLYN, N.Y. 11211

VS.

ORDER TO SHOW CAUSE

EMLYN I. GRIFFITH ---RESPONDENT REGENTS COMMITTEE ON DISCIPLNE 261 MADISON AVE. (15th. floor) NEW YORK, N.Y. 10016

SIRS:

MARCH 3 ,1975 at 10:00 A.M.

HONORABLE: HENRY BRANWELL

U.S. HETRICT JUDGE.

4a

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF NEW YORK
BROOLLYN, N.Y. 11201

PRESENT HONOBABLE:
INDEX NO: 25 C 24

ELIAS LESTER, M.D. ---PETITIONER 310 KEAP ST. PRO SE BROOKLYN, N.Y. 11211

VS.

EMLYN I. GRIFFITH ---RESPONDENT REGENTS COMMITTEE ON DISCIPLINE 261 MADISON AVE. (15th. floor) NEW YORK, N.Y. 10016

NOTICE.

SIRS:

PLEASE TAKE NOTICE, that upon the annexed Petition of Elias Lester, verified on the 18th. day of February, 1975 and upon pleading and proceedings heretofore had, the undersigned affirms under the penalties of perjury the following:

1.- That this action is brought under Title 28 U.S.C. § 1343(3) and 42 U.S.C. § 1985(3) and bases the Jurisdiction of the Court on such Titles.

- 2.- That the Court has Venue in this matter in that the Court is located in the District of the Petitioner.
- 3.- That Petitioner seeks in this action to enjoin Respondent in submitting any report in reference to Petitioner to the N.Y. Board of Regents with purpose and design to revoke Petitioner M.D. License, and that such report should be DISMISS, for the reason that Respondent being the chairman of a hearing on February 5, 1975 deny to subpoena a few witnesses not being public officals, which Honorable Justice John R. Bartels on a hearing

before him on January 24, 1975 directed John J. O'Grady one of the Attorney's of Respondent that Petitioner Elias Lester be allowed to subpoena certain witnesses.

WHEREFORE, Petitioner prays that the releif sought herein, to enjoin Respondent in submitting any report to the Board of Regents be granted and such other and further relief as the Court may deem just and proper.

Dated: Febrary 18, 1975

Elias Lester, M.D. Petitioner Pro Se

310 Keap St.

Brooklyn, N.Y. 11211

### PETITION IN SUPPORT OF MOTION TO ENJOIN RESPONDENT FROM REVOKING PETITIONER'S M.D. LICENSE OR TO GRANT THE SUBPOENA OF WITNESSES (FILED FEBRUARY 18, 1975)

UNITED STATES DISTRICT COURT

EASTERN DISTRICT OF NEW YORK

BROOKLYN, N.Y. 11201

PRESENT HONORABLE:
INDEX NO:

ELIAS LESTER, M.D. ---PETITIONER 310 KEAP ST. PRO SE BROOKLYN, N.Y. 11211

VS. : PETITION

EMLYN I. GRIFFITH ---RESPONDENT REGENTS COMMITTEE ON DISCIPLINE 261 MADISON AVE. (15th. floor) NEW YORK, N.Y. 10016

STATE OF NEW YORK)

COUNTY OF KINGS ; ss. :

ELIAS LESTER, M.D. PETITIONER PRO SE,

being duly sworn, deposes and says:

- 1.- That Honorable Justice John R. Bartels, one of the Judges of the within Court did sign an order to show cause Index No: 75-C-58, on or about January 10, 1975.
- 2.—That the said Order to show cause was returnable on January 24, 1975.
- 3.- That the Attorney for the Respondent was present and so was Petitioner herein, that Petitioner allege herein that he was the present before Honorable John R. Bartels, at the time/Judge directed to the Attorney of Respondent Mr. J. J. O'Grady that certain witnesses should be allowed to be subpoena by Petitioner herein.
- 4.- That Petitioner on January 30, 1975 did make a list of only 6 witnesses, none of them public officials to the knowledge

of Petitioner, that the said paper was served on J.J. O'Grady by a processer on January 30, 1975.

5.- That Mr. J.J. O'Grady declined to subpoena any witnesses but informed Petitioner that the paper would be submitted to the Chairman of the Regents Committee on Discipline on the day of the hearing February 5th. 1975

6.- That a copy of the said papers with the affidavit of service was filed in the U.S. District Court for the Eastern District and is herein attached as <u>EXHIBIT A</u>. It was filed in a related case Index No: 74-C-1051 in the U.S. District Court. Note that there are only 6 persons to be subpoena.

7.- That Petitioner did attend the February 5th. 1975 hearing at 261 Madison Ave. (15th. floor) and made the petition to subpoena the only 6 witnesses to the Chairman, the Respondent herein, Mr. Emlyn I. Griffith, and the request was denyed.

8.- That the said denial to subpoena a very reasonable amount of witnesses is a violation of Petitioner's Constitutional Rights it is also a violation of the New York Education Law, it is also a violation of the Law of the State of New York.

Note: The New York Education Law state:

§ 6510 d.

(4) that the licensee shall have the right to produce witnesses and examine evidence praduced in his behalf, to cross-examine witnesses and examine evidence produced against him, and to have subpoena issue in his behalf, to require the production of witnesses and evidence in manner and form as prescribed by the civil practice law and rules.

CPLR \$ 2302 state.

Authority to issue (subpoenas)

(a) Without court order. Subpoenas may be issued without a court order by the clerk of the court, a judge where there is no clerk, the attorney general,————

See EXHIBIT B.- A letter send to the Petitioner by the Office of the N.Y. Attorney General denying to subpoens any witnesses Note that the word Board of Regents appear in such letter more than once, Note further that there is no hearing to be have before the Roard of Regents, that the hearing was on February 5, 1975 before the Regents Committee on Discipline, of which Petitioner was informed by one of the Attorneys of the N.Y. State Education Department, the Office of the Attorney General that the hearing would be before only one of the Regents, the Respondent herein, and that the Respondent would submit a report to the Board of Regents, is against this report, to be submitted by the respondent that this Order to Show Cause is directed to, for was that one Regent, which denyed to subpoena the Petitioner any witnesses, the Respondent herein.

8.- The single charge against Petitioner by the Office of the

Attorney General is that Petitioner is practicing Medicine, while his ability to practice is impaired by mental disability, See EXHIBIT C-1 to C-5 5 letters in the form of certificates from 5 N.Y. License doctors, 4 of them M.D.'s one a Psychologist all of them certifying as of the soundness of mind of the Petitioner. (2 of them M.D. Psychiatrists)

It is the allegation of the Petitioner that the reason for the Attorney General to deny to subpoena witnesses is to cover up other matters known to the Attorney General, in order to defame and destroy the public image and credibility of the Petitioner, with the intent to influence, obstruct the outcome of a trial in the Nassau Supreme Court against the New York Lynbrook Mayor which Petitioner is sue-ing and who is covered for liability by the Hartford Insuracne Co. Subsidiary of ITT, the sue is for liable and slander vs. the Mayor who picked himself the Office

Of the Petitioner in 1971 in Lynbrook where Petitioner had an M.D. Office at the time and made defamatory statements against

the Petitioner at the time. No previous of plication to where FORE, Petitioner prays that the releif sought

herein, to enjoin Respondent in submitting any report to the Board of Regent with purpose and design to revoke Petitioner's M.D. License be granted or on the alternative to allow Petitioner to subpoena any and all witnesses which Petitioner had requested previously and which the Attorney General has power to grant be granted and such other and further felief as the Court may deem just and proper.

Dated: February 18, 1975

Elias Lester, M.D. Petitioner Pro Se.

State of New York)

County of Kings )

ss.:

I, Elias Lester, Petitioner Pro Se, being duly sworn deposes and says: That I am the Petitioner in the within proceedings, that I have read to foregoing order to show cause, Notice and Petition, and knows the contents thereof; that the same is true to my own knowledge except as to those matters therein stated to be alleged on information and belief, and as to those matters I believe it to be true.

Sworn to before me

this February 18, 1975

Elias Lester, Pro Se. 310 Keap St.

Brooklyn, N.Y. 11211

-4-

AFFIDAVIT IN FURTHER SUPPORT OF MOTION WITH ATTACHED EXHIBITS A, B, C-1, C-2, C-3, C-4, C-5 (FILED FEBRUARY 19, 1975)

ULTED STATES DISTRICT COURT

10a

EASTERN DISTRICT OF NEW YORK

ERCOKLYN, N.Y. 11201

PRESENT HONORABLE JUSTICE:

JOHN R. BARTELS

INDEX NO: 74-C-1051

IN THE MATTER OF THE APPLICATION OF :

WRIT OF HABEAS CORPUS

ELIAS LESTER, M.D. 310 KEAP ST.

BROOKLYN, N.Y. 11211 --- PETITIONER

PRO SE

AFFIDAVIT

VS.

0

JUDGE ELLEN J. MORPHONIOS, ET AL.
1351 NW 12 ST.
DATE COUNTY
MIAMI, FIORIDA----- RESPONDENT

I, Elias Lester, M.D. being duly sworn, deposes and says: That on teday, January 30, 1975 I did prepare a letter which was delivered to a Mr. John J. O'Grady requesting to subpoena 6 witnesses, which to the knowledge of Petitioner were not public officials, and a request for allowing a Court Reporter, for a hearing before the Regents Committee on Discipline, to be held on February 5, 1975, at 10:00 A.M. at 261 Madison Ave, N.Y.

Elias Lester, M.D.

Petitioner Pro Se, Elias Lester, being duly sworn deposes and says: That he has read the foregoing affidavit and knows the contents thereof; that the same is true to his own knowledge except as to those matters therein to be alleged on information and belief, and as to those matters he believes them to be true:

Suorn to before me this: dan. 30, 1975

Elias Lester, M.D. 310 Keap St. Grootlyn, R.Y. 11211

-XHBIT A

Index No. 74-C-1051

Judge Ellen J. Morphonios, Et Al. 1351 NW 12 SJ. Wiami, Florida Plaintiff Brooklyn, N.Y. 11211 Elias Lester, M.D. against 310 Keap St.

Defendant

Affidavit of Personal Service

STATE OF NEW YORK, COUNTY OF

I, Joseph Silverman

being duly sworn.

deposes and says that deponent is not a party to the action, is over 18 years of age and resides at

197 Lee Ave. Brooklyn, N.Y. 11211

day of January

at 12.15 P.M.

deponent served the annexed paper

John J. O'Grady, which accepted the said paper.

in this action by delivering a true copy thereof to said individual parsonally. Deponent knew the person so served to be the person mentioned and described in said papers Deponent

as the one of the Attorneys for Resp. herein,

Sworn to before me, this 30 44

Dang of Print name beneath signature

12.14 Well ... 1975

11a

To: The Board of Regents
Regents Committee on Discipline
% John J. O'Grady (Ass. Att. General)
261 Madison Ave.
N.Y. N.Y. 10016

S 1 R S :

PLEASE TAKE MOTICE, that I Elias Lester respectfielly request as of right to have a Court Reporter, and subposess
issued to the below named individuals, to attend the hearing on
February 5, 1975 at 10:00 A.M. (15th floor) 261 Madison Ave, M.Y.
and to allow to cross-examine the said witnesses by myself, as
of right pursuant the New York Education Law. § 6510 (4) that the
licensee shall have the right to produce witnesses and evidence
in his behalf, to cross-examine witnesses and examine evidence
produced against him ——

Note that CPLR \$ 2302 clearly states that the Attorney General has the authority to issue such subpoenas, in the past the hearing were before the Attorny General and subpoenas were not issued, despite of their request.

The persons to be subpoents are my accusers, or the witnesses against me, to the best of my knowledge they hold no public positions, their names are:

1.- Isidore Weiner .....

2.- Max L. Kamen, D.O. x

3.- Henry B. Marshall, M.D.

1.- Herman B. Snow, M.D.

5.- Philip F. Fleisher, D.O.

6 .- Thomas J. Sinatra, M.D.

The addresses of all are:

The State Education Department 261 Madison Ave. II.Y. N.Y. 10016

Sworn to before he

Weis damany 30, 1975

Elias Lester, M.D.

. Mitey

ALSON OF SANDAL

STATE OF NEW YORK

#### DEPARTMENT OF LAW

EDUCATION BUREAU 261 MADISON AVENUE NEW YORK 16, N. Y.

14 47 - 1610 TELES TONE MUSHAY HILL 7-3830

FREGERICK NACK ASSISTANT ATTREES IN CHARGE EDUCATION BURN

January 24, 1974

Elias Lester, M.D. 195 Lee Avenue Brooklyn, New York 11211

Dear Dr. Lester:

This will acknowledge receipt of your documents dated January 3, 1974, and January 14, 1974. You have asked for an order pursuant to CPLR for subpoenas and depositions of certain witnesses be made. Please be advised that we do not make such orders. Under the CPLR you may move in court for an order for subpoenas and depositions of certain witnesses.

Please be further advised that subpoenss by the New York Board of Regents are not effective outside the State of New York. Of the fifty-eight or more named individuals for whom you request subpoens be issued, ye are unable to issue same and decline to do so on the State of New York.

Please be further advised that among the people within the State of New York whom you wish to subpoena you have named several public officials. Please be advised that you named a court order to subpoena those individuals and therefore, the Board of Regents subpoenas will not be issued to you for that purpose.

Finally, as to the other individuals within the State of New York for whom you seek subpoenas, please be advised that at this time we will not issue subpoenas to those individuals. We have no objection, however, if at the hearing, to be held in this matter, you ask the Panel to issue such subpoenas to individuals within the State of New York who do not hold public positions. If you can those witnesses is relevant to the charges in issue, this office will not oppose the issuance of subpoenas at that they.

M

HYH

14a Elias Realer, M.D. January 24, 1974 I also note from your documents that you request this office to obtain copies of court transcripts from the County and other places. Please be advised that you, yourself, may directly contact the individuals who possess those transcripts and make arrangements directly with them to obtain copies. Very truly yours, LOUIS J. LEFKOWITZ Attorney General TOHN JO'GRADY Assistant Attorney General JJO'G:dd

HENRY RUBANEK, M.D. 110-28 70 RD. FORESTS HILLS, N.Y. 11375

TO WHOM IT MAY CONCERN:

THIS IS TO CERTIFY THAT I HAVE KNOWN ELIAS
LESTER, M.D. SINCE 1969 THAT HE IS A MAN OF GOOD CHARACTER AND
OF SOUND MIND.

DATED: JAN. 29, 1975.

HENRY RUBANEK. M.D

Dennis May, M.D., P.C. 140 West End Avc. New York, N.Y. 10023

Jan. 29, 1975

TO WHOM IT MAY CONCERN:

THIS IS TO CETIFY THAT I HAVE KNOWN DR. ELIAS LESTER, M.D. SINCE 1969 THAT HE IS A MAN OF GOOD CHARACTER AND OF SOUND MIND.

DENNIS MAY, MD./

EXHIBIT C-2

OSCAR CHARDIET, M. D. 607 WEST END AVENUE NEW YORK, N. Y. 16025

TELEPHONE, MONUMENT 2-0404

1-28-75

To whom it may concern, no. Elias Lister, is in pound mind well criented and show no signer of psychiatric disturbances.

Charact.

PHONE MO 2-0404 2-0406

Oscar Chardiet, M.D.

BY APPOINTMENT ONLY

697 WEST END AVERUE NEW YORK, N. Y. 10025 EXHIBIT C- 3

1/28/75

518 - 34TH STREET UNION CITY, N. J. 0708 TEL. 201 - 868-5578

611 WEST 177TH STREET NEW YORK, N. Y. 10033 TEL. 212 - 795-6300

To wirm it may concern: Today I did a mental englisation of Ellis Lester. Heir A your ald wom who looks Average to his champlegoal age. The is oriented and all intelligent functions are within normal lunds.

Mither delucins nor hally circetions are elicited.

He is neither deprehent in elected

JUMANY! Moutal examination tree of Ryclitic symptoms Awillow- 58/4 water

VITO F. GIANNINI

CONSULTING PSYCHOLOGIST

(CERTIFIED)

PROOKLYN MEDICAL CENTER

2502 - BOTH STREET

BROOKLYN, N. Y. 11214

PHONE 996-3161

1/30/75

To whom It may Concern, I have examined Elias Lester on 1/30/75 and find him well omited and without signs of psychiatric disturbances.

Very tody yours.

EXHIBIT C-5

LETTER OF JOHN J. O'GRADY, ASSISTANT ATTORNEY GENERAL FILED, 2000 DATED FEBRUARY 28, 1975 ADDRESSED TO DR. LESTER ADJOURNING THE CASE FROM MARCH 14, 1975, to MARCH 1, 1975 (FILED MARCH 3, 1975)

LOUIS J. LEPKOWITE

: \* .

115

STATE OF NEW YORK

DEPARTMENT OF LAW

EDUCATION BUREAU
261 MADISON AVENUE
NEW YORK 16, N. Y.

TELEPHONE: MURRAY HILL 7-8880

February 28, 1975

FREDERICK NACK ASSISTANT ATTORNEY GENERAL IN CHARGE EDUCATION SUREAU

IN CLERK'S OFFICE
DISTRICT COURT E.D. N.Y.

MAR 3 - 1975

TIME A.M...

Elias Lester, M.D. 310 Keap Street Brooklyn, New York

Re: Lester -vs- Griffith 75C247

Dear Dr. Lester:

This will confirm our telephone conversation of today's date in which you agreed to an adjournment of the above entitled case from March 14th to March 21, 1975.

I have spoken to Mr. Reid in Judge Bartells! Chambers who advised that in view of our agreement, the case is adjourned to March 21st without any further action on our parts.

Thank you for your cooperation in this matter.

Very truly yours,

LOUIS J. LEFKOWITZ Attorney General

y: Jun

Assistant Attorney General

cc: VHon. John R. Bartells

AFFIDAVIT IN OPPOSITION TO PETITIONER'S MOTION CONTAINING NO EXHIBITS, SERVED LATE AND FILED SUBSEQUENT TO HEARING (Filed MARCH 24, 1975)

21a

UNITED STATES DISTRICT COURT EASTERN DISTRICT OF NEW YORK

FILTH CLERK'S OFFICE CLS DISS CT BD, NY \* MAR 24,1975\*

IN THE MATTER

of

ELIAS LESTER, M.D.,

Petitioner pro se

AFFIDAVIT IN OPPOSITION

-acainst-

Index No. 75C 247

EMLYN I. GRIFFITH, Regents Committee on Discipline,

Respondent.

STATE OF NEW YORK )

COUNTY OF NEW YORK )

JOHN J. O'GRADY, being duly sworn, deposes and says:

- 1. I am an Assistant Attorney General in the office of Louis J. Lefkowitz, Attorney General of the State of New York, attorney for the respondent herein, and make this affidavit in opposition to the relief sought by the petitioner herein.
- 2. I am familiar with the matter herein having heretofore been assigned to conduct a disciplinary proceeding against
  the petitioner herein pursuant to Education Law \$6509 and \$6510.

  By reason of this assignment I have in my possession the file
  of the Attorney General's office and the New York State

  Education Department relative to said disciplinary proceeding.

Annexed hereto and made a part hereof (and referred to as "The Appendix") is a complete copy of the transcript of said disciplinary hearing which took place on February 15 and July 26, 1974, as well as "Exhibits 1" through "13" and Exhibit "A" received in evidence therein.

- 3. Elias Lester, M.D., the petitioner herein, received license No. 89535 from the New York State Education Department on September 10, 1962, which authorised him to practice medicine in the State of New York.
- 4. On the 14th day of December, 1973, attempts were made to personally serve a Notice of Hearing, Petition and Charges upon the petitioner at 310 Keap Street, 195 Lee Avenue, and 361 Broadway, all Brooklyn, New York, at which addresses petitioner was registered to practice medicine in this State.
- 5. On December 20, 1973, the Notice of Hearing.

  Petition and Charges were served upon petitioner by registered mail, by mailing copies thereof to the three addresses above indicated by petitioner as places from which he was registered to practice medicine in this State.
- 6. The petition charged the petitioner herein with practicing the profession of medicine while his ability to practice was impaired by mental disability. A copy of the

charges are contained in the Appendix as "Exhibit 1".

- 7. Thereafter, on or about January 7, 1974, the Attorney General's office received a document containing 12 pages in the nature of an answer and request for subpoenas from Elias Lester. Said document is contained in the Appendix as "Exhibit 3A".
- 8. Thereafter, on January 21, 1974. the Attorney General's office received an additional document consisting of 4 pages which appears to be a continuation of the previous answer and request for subpoenas, which is contained in the Appendix as "Exhibit 5".
- 9. Thereafter, on January 24, 1974, your deponent directed a letter to the petitioner at his address at 195 Lee Avenue, Brooklyn, New York, declining to issue the subpoenas requested by the petitioner and advising him to appear before the Medical Grievance Committee and renew his request before that body. In the letter, your deponent informed petitioner that any request for subpoenas to an individual within the State of New York whose testimony was shown to be relevant to the issues of the disciplinary hearing, would not be opposed by this office. A copy of said letter is reproduced in the Appendix as "Exhibit 4".

- 10. On Pebruary 15, 1974, the date noticed for hearing in the disciplinary matter, a five member panel of the New York State Board for Medicine, Committee on Professional Conduct, convened to hear testimony concerning the charges against the petitioner. A stenographic transcription of the hearing is contained in the Appendix.
- 11. Petitioner did not appear in person or by counsel at said hearing, but instructed his brother, one Isaac Salechter, and one Roger L. Amelunxen, an employee, to appear and read a telegram which he submitted to the Board. A copy of said telegram is contained in the Appendix as Exhibit "A". At the conclusion of the presentation before the hearing committee on that day, the committee adjourned the hearing for the purpose of allowing petitioner a sufficiently long period of time to make arrangements to appear in person and with counsel to represent his interests in this very serious matter.
- 12. Thereafter, sometime prior to June 5, 1974, the petitioner submitted to your deponent six copies of a "letter to Hon. Senator L. Weicker by Elias Lester, M.D. copyright Elias Lester 1974". A copy of said document is contained in the Appendix as "Exhibit 12".
- 13. At the request of the petitioner, the documents were distributed to the members of the State Board for Medicine.

- 14. During the month of May, 1974 and prior to June 5.

  1974, a notice addressed to the committee accompanying the "copyrighted book" was received by your deponent and forwarded to the members of the Panel. A copy of said notice and attached papers, used by the Panel in their deliberation, is contained in the Appendix as "Exhibit 13".
- 15. Thereafter, on June 5, 1974, your deponent directed a letter to the pititioner advising him that the State Board for Medicine had scheduled July 26, 1974 as the next date for hearing in this matter and advised him to make every effort to obtain counsel and appear personally before the Board. A copy of said letter is contained in the Appendix as "Exhibit 7".
- 16. On July 26, 1974, the date scheduled for the continuation of the disciplinary hearing in this matter. petitioner did not appear but sent a telegram, a copy of which is contained in the Appendix as "Exhibit 6".
- brother, and Joseph Silverman, an employee of the petitioner, neither being attorneys, appeared for the petitioner. In addition, one Edward Shulman, a stenographic reporter, hired by the petitioner, was allowed to remain and make a transcript of the hearing on behalf of petitioner, in addition to the State stenographer.

- 18. On July 26, 1974 the hearing was held and concluded. A copy of the transcript thereof is contained in the Appendix.
- 19. At said hearing, there was introduced in evidence a Judgment of Acquittal by reason of insanity, in the matter of The State of Florida v. Elias Lester in the Criminal Court of Records in and for Dade County, Florida, bearing case

  No. 71-9143; an Information in the same case, charging Elias

  Lester with the crime of Bribery; an Order in the same case appointing disinterested qualified experts to report on the mental condition of the defendant; and reports from the aforementioned experts setting forth their findings concerning petitioner's mental condition. Copies of each of those documents are contained in the Appendix as Exhibits 8, 9, 10, 11 respectively.
- Board for Medicine, Committee on Professional Conduct, issued its report of findings and recommendations to the Regents Subcommittee on Discipline, concluding that the petitioner herein is guilty as charged of practicing the profession of medicine while his ability to practice was impaired by mental disability. The Panel recommended to the Board of Regents that the potitioner's license and registration be revoked. A copy

of said Report of Findings and Recommendations as well as the Certificate of Executive Secretary and Professional Conduct Officer, dated December 20, 1974, certifying the record to the Board of Regents is annexed hereto, made a part hereof and marked "Exhibit I".

- 21. Thereafter, by letter dated January 3, 1975, the
  New York State Education Department advised the petitioner
  herein of the findings and recommendation of the New York State
  Board for Medicine and advised petitioner that the Committee
  on Discipline of the New York State Board of Regents would
  meet on February 5, 1975 to review the said findings and
  recommendations. A copy of said letter is annexed hereto,
  made a part hereof and marked "Exhibit II".
- 22. On January 30, 1975, the petitioner, through his agent, one Joseph Silverman, served upon your deponent a notice requesting subpoenas to be issued to each of the five members of the Medical Grievance Panel and Isidore Weiner, the Investigator who signed the charges in this matter. A copy of said notice is annexed hereto and made a part hereof and marked "Exhibit IXI".
- 23. On Pebruary 5, 1975, the petitioner appeared in person before the Regents Committee on Discipline and submitted a group of documents, which is annexed hereto, made a part hereof and marked "Exhibit IV".

- 24. On that date, petitioner was allowed to make an oral statement before said Subcommittee, which your deponent believes was transcribed by a hearing reporter retained by petitioner.
- 25. On or about March 10, 1975, the said Regents Committee on Discipline issued a report to the New York State Board of Regents. A copy of said report is annexed hereto, made a part hereof and marked "Exhibit V".
- 26. Upon information and belief, the New York State Board of Regents is meeting March 21, 1975 to pass upon this matter.
- 27. All of the procedures followed above are clearly set forth in the New York Education Law \$6509 and \$6510 and were followed completely.
- 28. If petitioner still feels aggrieved after the action of the Full Board of Regents, under the Education Law he may move directly in the Appellate Division, Third Department, to review, in an Article 78 proceeding, the entire record of the proceedings before the New York State Board for Medicine and the Regents.

WHEREFORE, your deponent prays that since petitioner has not been aggrieved and does not present a Federal question, the application presently pending before this Court should be denied in all respects.

/s/ JOHN J. O'GRADY

JOHN J. O'GRADY

Assistant Attorney General
of the State of New York

Sworn to before me this 20th day of March, 1975

/s/ MILTON H. ADLER
Assistant Attorney General
of the State of New York

TRANSCRIPT OF THE MARCH 21, 1975 HEARING ON THE MOTION 30a TO ENJOIN RESPONDENT FROM REVOKING PETITIONER'S M.D. 1 LICENSE OR TO GRANT THE SUBPOENA OF WITNESSES UNITED STATES DISTRICT COURT 3 E.STERN DISTRICT OF NEW YORK 4 LESTER, 5 Plaintiff, 6 -versus-7 75-C-247 GRIFFIN, 8 Defendant. 9 10 11 United States Courthouse 12 Brooklyn, New York 13 March 21, 1975 14 10:00 o'clock a.m. 15 Before: 16 HONORABLE JOHN R. BARTELS, U.S.D.J. 17 18 19 20 MICHAEL PICOZZI 21 OFFICIAL COURT REPORTER I heroby certify that the foregoing is 22 stenographic notes in this proceeding. 23 24 Official Court Reporter 25 U. S. Dietriet Court

Appearances: 

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TRVING BOYD GREEN, ESQ. Attorney for the Plaintiff

JOHN J. O'GRADY, ESQ., Attorney for the Defendant

MR. O'GRADY: Your Honor, this is a peculiar

THE CLERK: Civil motion, Lester against Griffin. MP: jm MR. GREEN: Your Honor, I haven't appeared Reel#1 3 here, but I am appearing here at the request of 4 Dr. Lester. 5 THE COURT: I will hear you. 6 MR. GREEN: I am not asking for an adjournment 7 now, despite having been shorted considerably upon 8 service of opposing papers which I understand the 9 doctor received yesterday about 11:30. That's 10 yesterday, March 20th. I saw them for the first time 11 nine o'clock today. 12 In addition to being short-serviced, the papers 13 themselves are devoid of the exhibits they claim are 14 already attached. 15 THE COURT: Where are the papers? 16 THE CLERK: We have not received them, your 17 Honor. 18 MR. O'GRADY: I have them with me, your Honor. 19 THE COURT: How can I determine a motion today 20 if I have not received the papers? 21 MR. O'GRADY: Your Honor --22 THE COURT: You put he and I in an embarrassing 23 situation. 24

THE COURT: Are you from out of town?

MR. GREEN: I'm from Yonkers.

THE COURT: Are you in Yonkers now?

MR. GREEN: Yes.

THE COURT: Let me state this, I don't carry these things back with me, I usually decide them off the bench.

MR. GREEN: This is what we are looking for, your Honor.

THE COURT: I don't have the papers, so there's no use referring to the papers.

MR. GREEN: Even so, if you had them, your Honor, he claims that there are exhibits attached which we know nothing about. This is going on since February 19th when the order to show cause was served upon him, February 20th. There was an adjournment at his request. And I believe your Honor's Court rules are that papers are to be filed no later than the Tuesday preceeding the Friday of the motion.

The part I object to is that. The exhibits are missing from the opposing papers that he claims are attached.

THE COURT: Let's forget about that for a second and try to go to the heart of this matter.

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MR. GREEN: Thank you.

THE COURT: What is claimed here is a violation of Dr. Lester's civil rights. He said, as I understand his claim, that certain State officials are about to make a report to the Board of Regents for final action concerning his revocation of a license to practice medicine.

Now, he wants action.

MP. GREEN: He learned today from the paper I have before me that on or about March 10, 1975, the said Regents Committee on Discipline issued a report to the New York State Board of Regents -- a copy of the report is annexed marked Exhibit 5, and we have no such information.

THE COURT: You made that clear.

Now, what about it?

MR. O'GRADY: Your Honor, let me start from the beginning.

THE COURT: Before you start from the beginning, let me know why you haven't gotten these exhibits attached to your papers?

MR. O'GRADY: Your Honor, I have the original papers nere for your Honor.

THE COURT: How can he answer if he doesn't have the exhibits?

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MR. O'GRADY: I didn't know we had an attorney in the case.

MR. GREEN: What difference does that make? He's entitled to the --

THE COURT: Wait a minute. You're in the Federal Court. You don't do that.

MR. GREEN: I apologize. I am sorry.

THE COURT: It makes no difference whether he has an attorney or not, he has a right to look at them personally. You read the rules of this Court and of any Court, for that matter.

MR. O'GRADY: First of all, this is the third time I have been before your Honor on this type of paper (indicating).

THE COURT: I agree, but I want to be sure he has every opportunity to be heard. You have a serious situation here where this gentleman is claiming a violation of his civil rights.

Actually, there hasn't been any final action whereby he has become hurt yet. Only after he has been deprived of his license can there be a claim.

There is a question of due process involved, as well as deprivation of his civil rights. I suppose it would involve the Fourth and Fourteenth Amendments. He had his opportunity before the State Board to make his

claim. He can't enjoin the State Board before they take this final action.

MR. O'GRADY: As I indicated, this is, as you know, the second time on the third petition I have been before this Court on which are impossible to answer because they are completely devoid of any sense. Hopefully, if we have an attorney here, we can get proper papers to answer.

For the benefit of the Court, and hopefully for counsel, I have prepared an affidavit setting forth the factual background here in chronological order. It's not directed as an answer to this petition which is unanswerable, in my opinion.

MR. GREEN: I object to the words, "factual background".

THE COURT: Don't object to anything, there is no jury here.

MR. O'CRADY: I would like to, if the Court would allow me, to present to you an affidavit I have prepared of what has gone on in the case since December 1973, setting forth in chronological order, because it does not appear in any of the papers before the Court, what has happened so far.

In addition, I would like to submit to the Court papers which I have annexed to my affidavit

which consist of the record of the transcript of the hearings made before the Medical Grievance Committee with copies of all the papers submitted before that body, all of which the petitioner here has.

I submit this only for the purpose of having before the Court finally a chronological, and I hope logical, sequence of what has gone on here, which is a big problem here as this Court knows.

You cannot make heads or tails of the petitions that the petitioner has been making for himself.

THE COURT: If I understand the situation, the petitioner has been charged with certain irregularities and there was a committee that made a report.

MR. GREEN: There was a hearing, then I learned today that a report was made on March 10th. This is during the pendency of the signing of the order to show cause -- the joining of the motion.

THE COURT: We didn't enjoin any action on the part of the Board. Do you practice in the Federal Court?

MR. GREEN: Yes.

THE COURT: You know our limitations. We can't jump in and stop State proceedings. There were provisions for a hearing here and he had a right to

bring in his witnesses and I understand he didn't do 2 so. 3 Is that right? MR. O'GRADY: Yes, your Honor. Would your 4 Honor care to see the affidavit of the facts? 5 THE COURT: Not at this point. You've got to 6 understand the basis for any jurisdictional action on 7 8 the part of this Court. We don't jump in and stop all State proceedings. I don't know that his license 10 is going to be revoked. MR. GREEN: We don't know. 12 THE COURT: Has it been revoked? MR. O'GRADY: Not yet. I have papers --THE COURT: Why doesn't he appear before the Board and make his argument? MR. GREEN: He did and wasn't given a chance to be confronted by the witnesses and cross -examine them. We have a copy of a transcript (indicating). MR. O'GRADY: We are getting more misinformation. THE COURT: Have you studied the record? MR. GREEN: I saw it. THE COURT: I hope you understand the facts. MR. GREEN: I do.

THE COURT: Because if you don't, you are

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MR. GREEN: I am not out to waste the Court's

Doctor Lester had a reporter present of his

own at the hearing on February 5th and he requested

of the Regents Committee, which consists of a

practicing attorney with two others, with the request,

MR. O'GRADY: At this point, maybe I should give a presentation of the entire background. I believe it would be also for the benefit of counsel.

This matter --

wasting a lot of time.

time.

MR. GREEN: May I finish this point?

MR. O'GRADY: I will let you finish.

THE COURT: Everyone relax. I will let you light all over.

MR. O'GRADY: Your Honor, back in December of 1973, Doctor Lester was served with papers charging him with practicing medicine while his ability to practice was in question. The allegation was he was mentally incompetent.

MR. GREEN: He was out of the country at that time.

MR. O'GRADY: Just a minute, please.

THE COURT: Give him a chanc

MR. O'GRADY: I happen to have been burdened

with the duty of presenting this case to the Grievance Committee. I sent the process server out to three addresses which Dr. Lester is registered to practice medicine in in New York and we found he was not in the country.

Pursuant to the Education Law, we served him by mail at the three residences listed.

THE COURT: One is in Brooklyn?

MR. O'GRADY: Right. The three are in Brooklyn.

Shortly thereafter, in early January of 1974, I received an answer from Dr. Lester from someplace in Israel indicating to me that he had received a copy of the charges and that he was answering the charges.

In addition to the answer, he asked that I issue subpoenas for approximately fifty people, most of whom lived in Florida.

THE COURT: You presented that matter the last time you were here.

MR. O'GRADY: Yes.

THE COURT: I said, let him subpoena a lesser amount.

MR. O'GRADY: Let me continue. This was in January of 1974, a year ago. I sent a letter back to Dr. Lester indicating that I would not, on behalf of

the Medical Grievance Committee, issue such subpoenas. Ordinarily in these types of cases, an attorney may be issuing subpoenas for an individual --

THE COURT: Yes, but fifty is obviously an abuse.

MR. O'GRADY: In the letter which I sent to

L.: Lester, I informed him that I wouldn't issue any
subpoenas for him and that he should come before the

Medical Grievance Committee and ask them for the
subpoenas personally.

I would not object to the issuance of any subposenas to any witnesses for whom Dr. Lester could show some relevance to the issues before the Medical Grievance Committee.

The date for hearing was set for February 4 or February 5, 1974. At that time, Dr. Lester did not appear. That was the time to come in and produce witnesses in evidence. He did not appear but sent in his brother and employee to read a telegram saying he was in Israel. I have a copy of it here (indicating).

Previously, that hearing was adjourned from that date until June or July, 1974 at the request of Dr. Lester and at my request so he could be here to present his case before the Committee. That was the

understanding. The case was adjourned.

Sometime in June of 1974, we received a voluminous sheaf of documents in the form of a copyrighted book or letter to Senator Weicker, copyrighted and written by Dr. Lester, which was presented to the Board on July 6th, an Dr. Lester was not present. He sent a telegram and his brother --

THE COURT: This can't continue at all.

MR. O'GPADY: It didn't, because at that point the Committee went ahead with the hearing. Time had been given for the respondent to appear.

The State law only gives an opportunity to appear, it does not mandate an appearance.

At that time, there was put into evidence before the Committee a certificate of acquittal of the crime of bribery by reason of insanity; there was put into evidence an order of the Criminal Court in Dade County, appointing a two-man medical Appellate Division, to examine into the competency of Dr. Letter to stand trial in this criminal case; and put into evidence was the report of those individuals indicating that Dr. Lester at that time was suffering from such mental defects that he wasn't able to understand the charges against him and on that basis the criminal charges were dismissed for that reason.

Those documents were placed in evidence. In addition, there was placed in evidence all of the documents submitted by Dr. Lester.

Now, on that day his brother and employee appeared and asked for time to submit a group of documents and long-playing phonograph records which were en route from Israel which would be in the nature of further evidence to be submitted by Dr. Lester.

The hearing held up its judgment and allowed those documents to be received. On the basis of all those documents, the Committee made a finding that Dr. Lester was indeed mentally incompetent and not fit to practice medicine.

That finding was issued in the form of a report sent along to the New York State Board of Regents Committee on Discipline.

THE COURT: When was that report?

MR. O'GRADY: A copy of that report and notice was sent to Dr. Lester by a letter dated

January 3rd, 1975. And a hearing before the Regents

Committee on Discipline was schedule for February 5th,

1975, which is the hearing that counsel is talking about now.

At that hearing, which is in the nature of

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almost an appellate type argument, the Regents

Committee had before it the transcript of the hearings
which were held previous to that containing the
recommendations of the Medical Grievance Committee.

And then, under the New York State law, the Regents —
the hearing panel merely makes the report and
recommendation to the Regents Committee and the
Regents Committee then, based upon the entire transcript, decides for themselves what the results
should be.

THE COURT: They have not taken any action as yet?

MR. O'GRADY: That Committee has prepared a document which is, to the best of my information, submitted to the Board of Regents right now.

THE COURT: The Board of Regents have not made up their minds?

MR. O'GRADY: They will make a final determination as to what to do with Dr. Lester's liceuse some time this week or early next week when the report of the Sub-Committee on Discipline, of which the chairman is Mr. Griffin, the respondent herein -- that is the reason Griffin is the respondent herein, I guess. This gentleman has brought this procedure to enjoin Mr. Griffin from making his

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report to the Board of Regents.

THE COURT: Wasn't this issue before me before?

MR. GREEN: Yes, I believe so.

MR. O'GRADY: The question was addressed to me.

This specific issue was not. The last two proceedings were brought before this Court shortly before the matter was scheduled to be held and heard by the Regents Committee on Discipline.

THE COURT: That's when I said you ought to give him an opportunity to subpoena --

MR. O'GRADY: At that time, it was brought before the chairman of the Committee and acting director of professional conduct, who at that time certified the transcript below to the Regents.

At that time, there was conversation concerning subpoenas and you asked me to step out into the hall and talk to Dr. Lester and explain to him about subpoenas.

THE COURT: No, to agree upon a number, I think.

MR. O'GRADY: I did that and I went into the hall and then I told Dr. Lester at this point there was no need for subpoenas --

THE COURT: Because there wasn't any further hearing, but you did have a hearing since that time.

MR. O'GRADY: There was a hearing in the nature

cozzi eel#2 of an appellate type review.

THE COURT: Not with witnesses?

MR. O'GRADY: No, there was a hearing. The Regents reviewed the transcript of the prior hearings.

THE COURT: I see.

(continued on next page)

THE COURT: I understood when you were before me there was still an opportunity for an evidentiary hearing: otherwise, we wouldn't be talking about subpoenas. Why then would you say I can't give him fifty but it's reasonable we will give him six?

Under those circumstances, you led me believe there was still a possibility to have a hearing.

Didn't you?

MP. O'GRADY: Well, I certainly had no intention of doing that.

THE COURT. Why talk about subpoenas at that late date?

Mp. O'GPADY: At that time I was trying to inform the Court and this gentleman that there was no evidentiary hearing. There was no need for a subpoena. He kept on insisting and the Court asked me to step outside and inform him about subpoenas.

THE COURT. I could have told him about subpoenas inside.

MP, O'GDADY: I had a discussion and thereafter I received a document requesting the issuance of six subpoenas.

The subpoenas were to go to the five members of the Medical Grievance Committee and the investigator who

Is that so?

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THE COURT. Is that so?

MR. O'GRADV: At the evidentiary hearing, I presented no live witnesses.

THE COUDT. The only requirement of the 14th is that you have notice and an opportunity to be heard. You don't take advantage of the opportunity to be heard you are not deprived of your constitutional rights and that is the whole issue.

MR. GREEN: We were deprived in this respect, the committee acted upon a letter signed by an osteopath, a man by the name of Kaman who typed in the names to the regents Committee -- on which were typed the names of four other persons, one of them another osteopath who no doubt claimed that the man was not mentally competent or words to that effect. On the basis of a letter signed by the osteopath, this is the only evidence submitted to the regents Committee, one of them being an attorney in addition to an investigatory report by a man whose name for the moment I don't recall who was sent down to Florida at somebody's request and the full story is not disclosed because the man was not guilty, the man was found not guilty. He should have been allowed to be confronted by the witnesses who claimed there was something the matter with the doctor's mind. I have spoken with the

50a 20 doctor and I find nothing wrong with thedoctor's mind.

THE COURT: You are not an expert.

MP. GREEN: Of course.

Mr. O'GRADY: Can I correct something?

THE COUPT. Let him finish.

MR. GREEN: Some five letters from doctors, two of them are psychiatrists and one a psychologist, were supplied by Dr. Lester. He submitted it both to the court and the committee.

THE COURT: You have to follow the procedure like everybody else. You can't fail to show up and set forth your own procedures and do what you want. This is a hearing and you should appear at it. He gave them another adjournment for a second time and then he didn't appear.

Now, how long are we going to keep up this charade and then when evidence is put in before the committee he now comes up and objects to the evidence. We can't conduct a hearing like that. We would never be able to have a legal proceeding under those circumstances.

THE COUNT. He doesn't have to have everyone of his requests granted, does he?

MP. GREEN: Your Honor, you did state a few

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moments ago -- this is what I am learning about the case -- you had stated prior to this in a previous hearing that he should agree on the amount of subpoenas and six was agreed upon. If the man himself who is a very educated man has no knowledge of how to go about serving subpoenas, I think it is a gross injustice to be deprived of his rights because of that. He is an American citizen.

THE COURT: That is not necessarily true. If
he had notice of the other hearing and didn't show up but the point is he came here and I got the impression
that there is still a hearing to be held. And he had
asked for fifty subpoenas, which request I deried, and
I suggested we have six. And you agreed. I thought
you agreed that six would be permissible. The actual
fact was the hearing was already over and no
subpoenas were available because there is no further
evidence to be produced.

Is that true?

MR. O'GRADY: That is true.

THE COURT. That I was misled by you.

MR. O'GRADY: Perhaps, but it wasn't my intention to mislead the Court. Perhaps I wasn't as forceful --

THE COUPT. Forceful or not, the result was the

I would like to get the matter over with. As

far as I am concerned, Mr. Creen, I am not going to

be here to take all this time with Dr. Lester. He has

a right to a hearing. I think he probably was given

that right and he didn't show.

Now, why can't you have a hearing?

MR. O'GRADY: Let me say this --

MR. O'GRADY: The state procedures are in process. Hopefully we now have counsel in the picture --

THE COURT: And get it over with.

MR. GREEN: No.

THE COURT: No, he says no.

MR. O'GraDY: I don't know why he is here then.

MR. GREEN: It's not for you to inquire.

TITE COURT: Is that the way to answer?

MR. GREEN: There is a certain amount of abuse counsel is required to take.

THE COURT: You didn't take any abuse yet.

This is nonsense. We are trying to accomplish something. Why can't something be done? Let him make his statement and bring in two or three witnesses and find out what he is talking about.

And if he hasn't had a hearing it is his own

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Zault. We can't have one man run the whole show.

MR. O GRADY: The committee has made a recommendation which is going to the Regent. The recommendation has been a revocation of his license. They recommend to the full board. I assume they probably will rather than revoke the license they will suspend with leave to apply to the Regents Committee for determination of said suspension. That is upon submitting to the Regents reports from two independent psychiatrists approved by the department. If they say he is not mentally incompetent then --

THE COURT: This is reviewable by the Appel ...

MR. O'GRADY: Yes. This is the proper procedure as your Honor knows. Direct appeal in the nature of an Article 78 proceeding.

THE COURT: That's right. And he has come running into the Federal Court without giving the state courts the opportunity to perform their legal function.

MR. GREEN: Perhaps Dr. Lester exercised his own judgment and I believe his judgment was quite proper seeking the assistance of the Federal Court.

THE COURT: At the proper time. You can't rush in and seek the assistance every time you think

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MR. GREEN: Some cases hold the remedies have to be exhausted and some say they do not. It has been your Honor's statement that he was assured he would be allowed to question the six witnesses and he was denied that right.

THE COURT: Wait a minute.

MR. GREEN: He was denied that right at the hearing he attended on February 5th and it's in the transcript.

THE COURT: That was on a misrepresentation that there was still a hearing to be held. If there wasn't

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55a any hearing to be held and he had already waived the 1 2 right --3 MR. GREEN: There was a hearing. This is the transcript of the hearing of February 5th (indicating). 4 5 MR. O'GRADY: There are hearings and there are 6 hearings. As I indicated, the hearing --7 THE COURT: He might have been deprived of 8 subpoenaing people at that hearing which had already been held at the time that case was argued before me, but I did not know it. He might have already been deprived of that. But that will be reviewed first by the State. MR. GREEN: I don't think that Dr. Lester knows what is happening. THE COURT: He knows what is going on. MR. O'GRADY: As I indicated before, you have been using the words "I misrepresented to you certa'n things," and I would like to clear the record. I don't believe I did. I have been trying to get across that the hearing before the Regent Committee on discipline is not an evidentiary hearing but a hearing in the nature of an appellate-type review which reviewed the records of what happened before. THE COURT. What was the record before? MR. O'GRADY: Two previous hearings both in '74

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26 which Dr. Lester was given an opportunity to appear at and an adjournment of seven months was given and he did not appear again.

THE COURT: Before whom?

MR. O'GRADY: The Medical Grievance Committee.

THE COURT: I can't do anything about that. That was long past. And the so-called letter of a so-called osteopath that counsel referred to was a report after the full hearing of five members, a five member panel. It is the the the

MR. O'GRADY: There is no distinction in the State of New York between an M.D. or a D.O. --

THE COURT: What is a D.O.?

MR. O'GRADY: A Doctor of Osteopathy. They get the same license. A Doctor of Osteopathy and a Doctor of Medicine get the same license. The five members of the panel, one of which was a psychiatrist, one a urologist, all duly appointed by the Regents to hear the cases. They made a report. Dr. Kaman happens to be the Chairman and signed the report for the committee. That was represented to your Honor as a letter by an ostecpath. I submit that was the report of the full medical panel who heard the case.

I think your Honor has pinpointed the actual point here that this -- first of all, this procedure

is not brought on proper papers. There was no proper showing of any violation of federal rights and secondly there is a state remedy which is fully available of which the procedures are still in the process of carrying on. If the recommendation is followed this gentleman will not be deprived of his license to practice but in effect will be allowed to come before the Regents with testimony from approved psychiatrists that can be agreed upon by Petitioner here and the State Education Department. THE COURT: As I understand it, the six requested witnesses requested by Dr. Lester were really Dr. Lester's accusers.

MR. O'GRADY: Not his accusers, his judges.

THE COURT: What can he prove by them?

MR. GREEN: Two men are D.O.'s -- what can they conclude of a man's stability or lack of stability?

THE COURT: You see, Mr. Green --

MR. GREEN: A lot of facts were stated by Mr. O'Grady and reference was made to January 24, a January 24th letter advising the doctor to appear before the Medical Grievance Committee. I have a copy of a January 24th letter and there is no mention of it in there.

THE COURT: All of you are forgetting the real

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issue here, that is the jurisdiction of this Court.

The proceedings in professional misconduct are set forth in Section 6510 of the New York Education Law. In brief they provide a hearing by a hearing panel of five or more members appointed by the Chairman of the Committee on Professional Conduct for the particular profession after the Attorney General has filed charges of misconduct. And the panel is required to determine the guilt or innocence of the party involved and submit a recommendation —

MR. GREEN: Your Honor --

THE COURT: And then this determination is reviewed by the Regents Review Committee which in turn makes its recommendation to the Board of Regents.

The Board makes a decision and issues an order. The entire proceedings are reviewable by the Appellate Division of the Third Department. That is under Section 6510 --

MR. GREEN: Your Honor, he is misquoting that law when he states the licensee shall have the right to produce witnesses and examine evidence and cross-examine it and have subpoenss issued in his behalf to require the production of evidence and witnesses.

THE COURT: That is at the panel hearing.

MR. GREEN: He was denied this right.

MR. O'GRADY: He didn't show up. How can he exercise his right if he doesn't show up?

THE COURT: You let the state court decide that.

You have here, after the hearing before the panel -after the hearing before the Regents review --

MR. O'GRADY: The last time he was before the Regent Review Committee.

THE COURT: Is that when he wanted subpoenas?

MR. O'GRADY: Yes. That's what I tried to

explain.

THE COURT: It doesn't provide for issuance of subpoenas there.

I think I am going to dismiss this application.

MR. GREEN: May I ask your Honor a question before you do?

THE COURT: Without prejudice.

MR. GREEN: In a letter dated January 24, 1974, addressed to the doctor it has talk about the subpoenas.

MR. O'GRADY: Read the whole letter.

THE COURT: The Court of Appeals says you don't have a right anyway. It's only at the request of the licensee and at the discretion of a subcommittee --

MR. GREEN: Wait a minute. Shouldn't it work both ways?

complete copy of the papers

60a THE COURT: You are asking me to say the law 2 is unconstitutional. If you want that you have to ask 3 for a three-judge court. 4 The application is dismissed without prejudice 5 to renew after final action by the state court. 6 Next case. 7 MR. GREEN: Could you direct him to give us copies of this (indicating)? 9 MR. O'GRADY: May I submit the papers to the 10 Court that I planned to submit? THE COURT. Yes. Give him copies of everything. 12 MR. O'GRADY: He already has most of them. THE COURT: You don't serve papers that say you are attaching Exhibits A, B, C and then do not attach them. MR. O'GRADY: This is a voluminous record. THE COURT: Why don't you find out what you need, Mr. Green? Why make him Xerox all of that? MR. O'GRADY: I will be happy to give Mr. Green what I have. MR. GREEN: I want the papers that he states are attached and one of them is of great importance, it is the report. I know nothing about the report of March 10th until I saw the papers this morning.

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complete copy of the papers.

MR. O'GRADY: May I submit to the Court a

ORDER OF DISMISSAL OF JOHN R. BARTELS, U.S.D.J. FILED 61a
MARCH 21, 1975 DISMISSING THE ACTION WANTHOUT PREJUDICE

UNITED STATES DIS

EASTERN DISTRICT OF NEW YORK

DISTRICT COURT E.D. N.)

MAR 2 / 1975

LESTER -VS-GRIFFITH

TIME A.M....

DOCKET NO. 750247

M'FILMED

ORDER OF DISMISSAL

The parties having appeared before this Court and adequate cause being shown:

IT is, on this 2/st day of MARCH 1975

ORDERED, that this action be and it hereby is dismissed, without costs, and without prejudice.

UNITED STATES DISTRICT JUDGE

AT BROOKLYN, N.Y. DATED: MALENT 21, 1975 UNITED STATES DISTRICT COURT EASTERN DISTRICT OF NEW YORK BROOKLYN, N.Y. 11201

ELIAS LESTER, M.D. ----PETITIONER 310 KEAP ST. PRO SE BROOKLYN, N.Y. 11211

VS.

EMLYN I. GRIFFITH ----RESPONDENT REGENT'S COMMITTEE ON DISCIPLINE 261 MADISON AVE. (15th. floor) NEW YORK, N.Y. 10016 INDEX NO: 75 C 247

hotice of Appeal

SIRS:

PLEASE TAKE NOTICE, that ELIAS LESTER, M.D. Petitioner hereby appeals from an order of Judge John R. Bartels dismissing Petitioner's Motion without prejudice on March 21, 1975 and entered in the Office of the Clerk of the U.S. District Court Eastern District of New York on March 21, 1975, as well as from eachand every part of said order as well as the whole thereof.

Dated: Brooklyn, New York

April 7, 1975

Elias Lester, M.D. Pro Se 310 Keap St. Brooklyn, N.Y. 11211 Tele: 212-388-3403. TO: LOWIS J. LEFKOWITZ
ATT. GENERAL

CAPITOL ALBANT. N.T. 12224.

TO: LOWIS S. LEFKOWITZ

RTT. GENERAL.

261 MADISON AVE.

NEW YORK. N.Y. 10016.

REPORT OF FINDINGS, DETERMINATION AND RECOMMENDATION TO THE REGENTS SUB-COMMITTEE ON DISCIPLINE, MARKED EXHIBIT 1

NEW YORK STATE
EDUCATION DEPARTMENT
STATE BOARD FOR MEDICINE
COMMITTEE ON PROFESSIONAL CONDUCT

In the Matter of the Application for the Revocation of the Authorization and License heretofore granted to

REPORT

of .

ELIAS LESTER

in the State of New York, and for the cancellation of his Amer registration as such, and for such other relief as the premises warrant.

FINDINGS,
DETERMINATION,
and
RECOMMENDATION

TO THE REGENTS SUB-COMMITTEE ON DISCIPLINE

The undersigned, Hearing Panel of the Committee on Professional Conduct of the State Board for Medicine duly designated to hear the charges against ELIAS LESTER hereinafter referred to as respondent, pursuant to Section 6510 of the Education Law of the State of New York, and to report it findings, determination and recommendation in respect to the said charges, do hereby, after due deliberation, unahimously report its findings, determination and recommendation as provided by law, as follows:

## RECORD OF PROCEEDINGS

Petition containing charges verified: December 12, 1973

Notice of hearing upon charges returnable: February 15, 1974

Place of Hearing: 261 Madison Avenue New York, N.Y.

Respondent served with copy of notice of hearing and charges: December 20, 1973 by registered mail.

Answer of respondent verified: January 3, 1974 filed: January 7, 1974

Petitioner appears by LOUIS J. LEFKOWITZ, Attorney General,

. State of New York

By: JOHN J. O'GRADY Assistant Attorney General

Hearings held in Absentia 

Responde 's present address: 310 Keap Street, Brooklyn, N.Y. 195 Lee Avenue, Brooklyn, N.Y.

361 Broadway, Brooklyn, N.Y.

Hearing(s) held on: February 15, 1974; July 26, 1974

## FINDINGS, DETERMINATION AND RECOMMENDATION OF HEARING PANEL

- ELIAS LESTER, the respondent herein was authorized to engage in the practice of medicine in the State of New York by the issuance to him of licenses Nos. 89535, (89535-3, 89535-4) by the New York State Education Department on September 10, 1962.
- The respondent is currently registered to practice medicine from addresses at 195 Lee Avenue, Brooklyn, New York; 261 Broadway, Brooklyn, New York; and 188 Lee Avenue Brooklyn, New York.
- 3. The respondent was charged in these proceedings with practicing the profession of medicine while his ability to practice is impaired by mental disability. It was alleged that on November 20, 1972, respondent, in the Criminal Court of Record in and for Dade County, Florida was found not guilty of the offense of Bribery by reason of Insanity by the Court sitting without a jury. In arriving at its

decision, the Court relied upon an examination of the respondent by a court appointed psychiatric staff which found that the respondent suffered from an acute psychosis of a paranoid type.

The charges in this matter were served upon respondent by mail at the addresses set forth above from which he was registered.

There is in evidence a group of documents in the nature of an answer to the charges and a request for subpoenas which were received from the respondent by mail from a location in Israel. In the first paragraph of the answer (Petitioner's Exhibit 3A), respondent acknowledges that the charges were received by him on January 1, 1974 in Tel Aviv, Israel, forwarded by his office at 195 Lee Avenue, Brooklyn, New York.

There is also in evidence correspondence between the Attorney General's Office and the respondent concerning his request for subpoena

At the first date of hearing which was held on February 15, 1974, the committee received several documents, letters and a telegram which were placed in evidence and adjourned the hearing with a strong suggestion to the respondent that he take steps to be present in person at the next scheduled hearing and that he obtain counsel to represent him in this very serious matter.

The hearing was continued on July 26, 1974 at which time the respondent did not appear but submitted a group of documents by his brother and an employee who appeared in person. There was received in evidence some additional correspondence and a telegram from the respondent.

In addition there is before us a certified copy of a Judgment of Acquittal dated November 20, 1972 (Petitioner's Exhibit 8) in the matter of the State of Florida against the respondent, Elias Lester stating that he was found not guilty by reason of insanity by the Court sitting without a jury of the offense of bribery. There is also in evidence before us copy of the information on which the criminal matter was instituted in Florida and a copy of an Order by the Court appointing certain experts for the purpose of examining the respondent as to his mental condition (Petitioner's Exhibit 9 and 10).

As Petitioner's Exhibit 11 there is before us, official documents addressed to the Florida Court from one Alan Rutchik, Ph.D. Clinical Psychologist, William Corwin, M.D., and Norman Reichenberg, Ph.D. setting forth their findings as to the mental condition of the respondent As Petitioner's Exhibit 12, there is a group of documents submitted by the respondent in the nature of Exhibits and explanations which were received by the committee and considered.

At the continued hearing, a representative of the respondent requested additional time to submit documents and records which were stated to be en route to the hearing from the respondent. We granted such permission and received a package of additional documents and long playing phonograph records which we made part of the record and which we considered in arriving at our determination herein.

Based upon all of the documents, exhibits and testimony before the committee, we made the following findings of fact:

The respondent herein was duly served with the charges and in view of his answer referring to the charges, we are satisfied that he has actually received and perused chem.

We find that respondent was charged in an information in the courts of the State of Florida with corruptly giving, offering or promising money to a public officer for the purpose of influencing his official conduct. We further note and find that respondent was found not guilty of that charge by reason of insanity. We accept the reports of the persons assigned to examine the respondent upon which the Court's finding is based. We therefore, find that on October 23, 1972, the date of the report of Allen I. Rutchik, Ph.D. the respondent was engaging in an:

"enormous struggle to maintain himself on the good side of reality. This struggle, while constant, is not always successful. He can slip into psychosis on occasion, but so far has had the resiliency to recover adequately. In addition, his great need to maintain his emotional balance robs him of his spontaneity and leaves him an inhibited, emotionally withdrawn man who is very uncomfortable in interpersonal relationships. His primary stance toward the world is one of suspiciousness; he is concerned about harm from the outside. He is quick to assign blame to others and to abdicate responsibility for his own actions. The psychological evidence suggests that Dr. Lester slips periodically into unreality, and his suspiciousness then becomes exacerbated to a point where he becomes clinically paranoid."

We further find that on October 24, 1972 as set forth in the report of William Corwin, M.D. P.A., the respondent was suffering film "an acute psychosis of a paranoid type." Although he was less disturbed at that time, there was a "continuing paranoid element to his thinking."

Petitioner's Exhibit 3A, an answer of the respondent dated January 3, 1974 which is signed and verified by him disclosed that said document was prepared and written by one not in touch with reality, suffering delusions, impaired judgment and impaired insight.

We have considered Petitioner's Exhibit 12, a document submitted under the copyright of Elias Lester, 1974 which purports to be a letter to the Hon. Senator Weicker by Elias Lester, M.D. consisting of approximately one hundred and ten pages reproducing some of the previous exhibits in this matter and part of the transcript. The document itself discloses that the author thereof is not in touch with reality, and not competent to remain in the practice of medicine.

As for Fetitioner's Exhibit 13, a document received from the respondent dated May 1, 1974 we make the same observations as to the mentality and competence of the author, the respondent. We have also considered a group of documents and long playing records prepared by respondent, which were submitted by respondent's agents after the last date of hearing. We kept the hearing open specifically for the purpose of receiving same. The documents and records contain the same type of material as contained in the respondent's other documents and show that the author therof is not in touch with reality, the contents of the documents are disjointed and indicative of a disturbed personality.

Upon all of the evidence, we find and conclude that the respondent is guilty of practicing the profession of medicine while his ability to practice is impaired by a mental disability. In October 1972, when examined by order of the Florida Court, by William Corwin, M.D., a psychiatrist, he was suffering from an acute psychosis of a paranoid type which was somewhat remitted at that time. Based upon the entire record, including the documents submitted to us by the respondent, we find that he is still suffering from an acute psychosis of a paranoid type and he is so far removed from reality at the present time that he is unfit for the practice of medicine and he is a danger to the public in his present condition.

Accordingly, we recommend to the Board of Regents that the license and registration heretofore issued to the respondent, authorizing him to engage in the practice of medicine in the Stat of New York be revoked and cancelled of record.

#### CONCLUSION

We find and conclude that the respondent is guilty as charged of practicing the profession of medicine while his ability to practice is impaired by mental disability. We recommend to the Board of Regents that the respondent's license and registration be revoked.

Dated: New York, New York December 13, 1974

MAX L. KAMEN, D.O.

HENRY B. MARSHALL, M.D.

HERMAN B. SNOW, M.D.

PHILIP F. FLEISHER, D.O.

THOMAS J. SINATRA, M.D.

MAX II. KAMEN, D.O., CHAIRMAN

DEPARTMENT OF EDUCATION STATE OF NEW YORK STATE BOARD FOR MEDICINE

In the Matter of the Application for the Revocation of the Authorization and License heretofore granted to

ELIAS LESTER

in the State of New York, and for the cancellation of his/her registration as such, and for such other relief as the premises warrant.

CERTIFICATE

OF

EXECUTIVE SECRETARY
AND PROFESSIONAL
CONDUCT OFFICER

### TO THE BOARD OF REGENTS:

I, the undersigned, Executive Secretary of Professional Conduct and Professional Conduct Officer, duly appointed pursuant to the Education Law of the State of New York, do hereby certify:

1. That charges, in writing, were duly preferred and filed against ELIAS LESTER , a duly licensed physician of the State of New York, hereinafter referred to as the respondent, wherein respondent was charged with practicing the profession of medicine while his ability to practice is impaired by mental disability within the purview and meaning of Section 6509, subd. 3 Education Law, and a copy of said charges with notice of hearing were duly served upon the respondent and a hearing duly had thereon before a Hearing Panel of the Committee on Professional Conduct of the State Board for Medicine composed of Drs. Kamen, Marshall, Snow, Fleisher, and Sinatra and its written report of Findings, Determination and Recommendation, together with a transcript of the hearing were duly transmitted to me. 2. That, after due deliberation, said report of Findings,

Determination and Recommendation was duly made by the five members of

the Hearing Panel by unanimous vote, after a duly constituted hearing

15th day of February, 1974 and
on the / 26thday of July, 1974 bodd, wherein it was determined that

the respondent is guilty of the charges, and wherein it was

recommended that for respondent's professional misconduct, his license
be revoked.

STATE EDUCATION DEPARTMENT JANUARY 3, 1975 LETTER RECOMMENDING REVOCATION OF DR. LESTER'S LICENSE MARKED EXHIBIT IL THE UNIVERSITY OF THE STATE OF NEW YORK THE STATE EDUCATION DEPARTM 261 MADISON AVENUE NEW YORK, NEW YORK IGGIS CERTIFIED MAIL - RRR January 3, 1975 Elias Lester 310 Keap Street Brooklyn, New York ELIAS LESTER Dear Mr. Lester: Enclosed please find a copy of the report of the findings, determination and recommendation of the Hearing Panel which recommended the following: RECOMMENDATION: That respondent is guilty as charged and that respondent's license and registration be revoked. The Regents Committee on Discipline will review this matter and thereafter submit a written report of its review to the Board of Regents. The Regents Committee on Discipline will meet as follows: DATE AND TIME: Wednesday, February 5, 1975 at 10 A.M. 261 Madison Avenue (15th floor) PLACE: New York, New York The Regents Committee on Discipline may recommend the acceptance, modification or rejection of all or part of the findings, determination and recommendation of the Hearing Panel. Therefore, the respondent is required to appear and has the right to be represented by counsel at said meeting. Should you desire to submit briefs, the original and ten copies must be sent to the undersigned on or before January 20, 1975. It is important that you contact your attorney immediately and inform him of the foregoing. Very truly yours, THOMAS . V. MILANA ACTING DIRECTOR By: TVM: HWS: rc HAROLD W. SUCKENIK ATTORNEY ca:

### ELIAS LESTER, M.D. AFFIDAVIT TO THE BOARD OF REGENTS SWORN TO JANUARY 30, 1975, MARKED EXHIBIT III

Elias Lester, M.D. 310 Keap St. Brooklyn, N.Y. 11211

To: The Board of Regents
Regents Committee on Discipline
% John J. O'Grady (Ass. Att. General)
261 Madison Ave.
N.Y. N.Y. 10016

SIRS:

PLEASE TAKE NOTICE, that I Elias Lester respectfully request as of right to have a Court Reporter, and subpoenas
issued to the below named individuals, to attend the hearing on
February 5, 1975 at 10:00 A.M. (15th floor) 261 Madison Ave, N.Y.
and to allow to cross-examine the said witnesses by myself, as
of right pursuant the New York Education Law. § 6510 (4) that the
licensee shall have the right to produce witnesses and evidence
in his behalf, to cross-examine witnesses and examine evidence
produced against him ——

Note that CPLR \$ 2302 clearly states that the Attorney General has the authority to issue such subpoenas, in the past the Fearing were before the Attorny General and subpoenas were not issued, despite of their request.

The personn to be subposited are my accument, or the witherappear against me, to the best of my knowledge they hold no public positions, their names are:

- 1.- Isidore Weiner
- 2.- Max L. Kamen, 1.0.
- 3.- Henry B. Marshall, M.D.
- 4.- Herman B. Snow, M.D.
- -5.- Philip F. Floisher, D.O.
- 6 .- Thomas J. Sinatra, M.D.

The addresses of all are:

The State Education Department 261 Madison Ave. N.Y. N.Y. 10016

Sworn to before me

This January 30, 1975

Elias Lester, M.D.

Exhibit III

## REPORT OF REGENTS COMMITTEE ON DISCIPLINE DATED MARCH 10, 1975 MARKED EXHIBIT V



## The University of the State of Dem Dools

IN THE MATTER

of the

Application for the revocation of the authorization and license heretofore granted to ELIAS LESTER to practice as a physician in the State of New York, for the cancellation of his registration as such, and for such other relief as the premises warrant.

No. 977

Report of the Regents Committee on Discipline
To the Board of Regents:

Your Committee on Discipline reports as follows:

ELIAS LESTER, hereinafter referred to as respondent, was licensed to practice as a physician in the State of New York on September 10, 1962 by the New York State Education Department. He was issued license Nos. 89535, 89535-3, 89535-4 and was registered with the Department from addresses at 195 Lee Avenue, Brooklyn, New York; 361 Broadway, Brooklyn, New York; and 188 Lee Avenue, Brooklyn, New York.

On December 20, 1973 the instant disciplinary proceeding was commenced by service of the notice of hearing, petition and charges upon the respondent, by registered mail:

The petition charged respondent, with professional misconduct within the purview and meaning of subdivision 3 of section 6509 of the Education Law of the State of New York, (practicing the profession while the ability to practice is impaired by mental disability), as follows:

- (a) On or about the 20th day of November, 1972, in the Criminal Court of Record in and for Dade County, Florida in Case No. 71-9143, entitled The State of Florida vs. Elias

  Lester, the respondent was found not guilty of the offense of Bribery by reason of Insanity by the Court sitting without a jury.
- On or about November 2, 1971, one Richard E. Gerstein, State Attorney of the 11th Judicial Circuit of Florida, prosecuting for the State . r Florida in the County of Dade, charged in information that the respondent, from November 1 through November 2, 1972, corruptly gave, offered or promised to a public officer, to wit, a building inspector, the sum of \$60.00 with the intent or purpose to influence the acts of said public officer on a matter then pending before him in his public capacity. As a result of said accusation of crime, the Criminal Court of Dade County, Florida, Alfonso C. Sepe, Judge, ordered respondent examined by a court appointed psychiatric staff including a psychiatrist, William Corwin, M.D., Allen I. Rutchik, PhD. and Norman Reichenberg, PhD. The result of said evaluation is contained in the report of the psychiatrists to the court, "I believe he (respondent) has suffered from an acute psychosis of a paranoid type. Although he is less disturbed at this time, there is a continuing paranoid element to his thinking. It is my opinion that he is presently capable of properly answering a charge against him and to aid in his own defense and to stand trial. It is also my opinion that at the time of the alleged offense with which he is charged, that he did not know right from wrong or the nature and consequence of his acts." Respondent has continued to practice medicine in this

State while his ability to practice is impaired by his mental disability as set forth above, which continues to the present time.

Respondent submitted a written answer which is more fully set forth in the report of the Hearing Panel which heard this matter.

On February 15, 1974 and July 26, 1974 a hearing was held before a Hearing Panel of the Committee on Professional Conduct of the State Board for Medicine, at which respondent did not appear nor was he represented by an attorney. However, two persons, the brother of the respondent, who was an employee of the respondent, and another employee of the respondent, appeared on the first hearing date and two persons, the brother and a third employee of the respondent, appeared on the second hearing date.

The Hearing Panel rendered a report of its findings, determination and recommendation, a copy of which is annexed hereto, made a part hereof, and marked as exhibit 'Calendar No. 977'.

The Hearing Panel found and determined that respondent was guilty as charged and recommended to the Board of Regents that respondent's license to practice medicine in the State of New York be revoked.

Respondent was afforded an opportunity to appear before us in person and to be represented by an attorney.

On February 5, 1975 respondent appeared before us in person, acknowledged that he had been advised of his right to

counsel, and indicated that he wished to represent himself.

Respondent was permitted to have his wife and brother present with him. In addition thereto, in connection with the request of the respondent to have a hearing reporter present at our review of this matter, a hearing reporter, furnished by the Department, and two other hearing reporters, brought to our meeting by the respondent, were also present. Petitioner was represented by John J. O'Grady, Assistant Attorney General.

The request of the respondent to subpoena witnesses at our review of this matter was denied. In addition thereto, the motion of the respondent to dismiss the pending proceedings was denied and it was indicated to respondent that our Committee had proper jurisdiction and that the prior procedure as well as our procedure was proper and consistent with the Education Law.

We have carefully reviewed and considered the entire record, the papers submitted to us by respondent, the statements made before us, and the paper with an attached affidavit of the respondent submitted by him subsequent to our February 5, 1975 meeting.

We unanimously recommend that the Board of Regents accept the findings and determination of the Hearing Panel of the Committee on Professional Conduct of the State Board for Medicine that respondent is guilty of the charges.

With respect to the measure of discipline to be imposed, we unanimously recommend that, under all of the circumstances herein, the Board of Regents modify the recommendation of the

Hearing Panel to the extent that respondent's license to practice as a physician in the State of New York be suspended upon the charges of which respondent was found guilty with leave to respondent to apply to the Regents of mittee on Discipline for the termination of said suspension.

Upon any application by the respondent for the termination of the suspension of his license, respondent must submit written opinions from at least two independent psychiatrists, approved by the Department prior to any examination of the respondent by said psychiatrists, that respondent is fit to practice as a physician in the State of New York. The Regents Committee on Discipline reviewing said application may thereafter make whatever recommendation it may deem just and proper to the Board of Regents.

Respectfully submitted,

airman

EMLYN I. GRIFFITH

DANIEL GUTMAN

GEORGE A. SPOHR, JR.

March 10, 1975

COMMISSIONER OF EDUCATION ORDER DATED APRIL 7, 1975 SUSPENDING DR. LESTER'S LICENSE MARKED EXHIBIT VI



# The University of the State of New York,

IN THE MATTER

of the

Applica ion for the revocation of the authorization and license heretofore granted to ELIAS LESTER to practice as a physician in the State of New York, for the cancellation of his registration as such, and for such other relief as the premises warrant.

No.977

Pursuant to the report of the Regents Committee on Discipline, dated March 10, 1975, and to the vote of the Board of Regents on March 21, 1975, which report and vote are incorporated herein and made a part hereof, it is

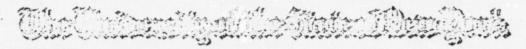
ORDERED, that the findings and determination of guilt rendered by the Hearing Panel of the Committee on Professional Conduct of the State Board for Medicine in the matter of ELIAS LESTER, respondent, are accepted; that respondent is guilty of the charges; and that respondent's license to practice as a physician in the State of

New York as well as his registration or registrations to so practice, wherever they may appear, are suspended upon the charges of which respondent was found guilty, with leave to respondent to apply to terminate said suspension in accordance with the procedure set forth in the report of the Regents Committee on Discipline.



IN WITNESS WHEREOF, I, Ewald B. Nyquist,
Commissioner of Education of the State
of New York, for and on behalf of the
State Education Department and the
Board of Regents, do hereunto set my
hand and affix the seal of the State
Education Department, at the City of
Albany, this 7th day of April, 1975.





#### IN THE MATTER

of the

Application for the revocation of the authorization and license heretofore granted to ELIAS LESTER to practice as a physician in the State of New York, for the cancellation of his registration as such, and for such other relief as the premises warrant.

No. 977

Report of the Regents Committee on Discipline
To the Board of Regents:

Your Committee on Discipline reports as follows:

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On December 20, 1973 the instant disciplinary proceeding was commenced by service of the notice of hearing, petition and charges upon the respondent, by registered mail.

The petition charged respondent, with professional misconduct within the purview and meaning of subdivision 3 of section 6509 of the Education Law of the State of New York, (practicing the profession while the ability to practice is impaired by mental disability), as follows:

- (a) On or about the 20th day of November, 1972, in the Criminal Court of Record in and for Dade County, Florida in Case No. 71-9143, entitled The State of Florida vs. Elias

  Lester, the respondent was found not guilty of the offense of Bribery by reason of Insanity by the Court sitting without a jury.
- On or about November 2, 1971, one Richard E. Gerstein, State Attorney of the 11th Judicial Circuit of Florida, prosecuting for the State of Florida in the County of Dade, cha: ged in an information that the respondent, from November 1 through November 2, 1972, corruptly gave, offered or promised to a public officer, to wit, a building inspector, the sum of \$60.00 with the intent or purpose to influence the acts of said public officer on a matter then pending before him in his public capacity. As a result of said accusation of crime, the Criminal Court of Dade County, Florida, Alfonso C. Sepe, Judge, ordered respondent examined by a court appointed psychiatric staff including a psychiatrist. William Corwin, M.D., Allen I. Rutchik, PhD. and Norman Reichenberg, PhD. The result of said evaluation is contained in the report of the psychiatrists to the court, "I believe he (respondent) has suffered from an acute psychosis of a paranoid type. Although he is less disturbed at this time, there is a continuing paranoid element to his thinking. It is my opinion that he is presently capable of properly answering a charge against him and to aid in his own defense and to stand trial. It is also my opinion that at the time of the alleged offense with which he is charged, that he did not know right from wrong or the nature

and consequence of his acts." Respondent has continued to practice medicine in this State while his ability to practice is impaired by his mental disability as set forth above, which continues to the present time.

Respondent submitted a written answer which is more fully set forth in the report of the Hearing Panel which heard this matter.

On February 15, 1974 and July 26, 1974 a hearing was held before a Hearing Panel of the Committee on Professional Conduct of the State Board for Medicine, at which respondent did not appear nor was he represented by an attorney. However, two persons, the brother of the respondent, who was an employee of the respondent, and another employee of the respondent, appeared on the first hearing date and two persons, the brother and a third employee of the respondent, appeared on the second hearing date.

The Hearing Panel rendered a report of its findings, determination and recommendation, a copy of which is annexed hereto, made a part hereof, and marked as exhibit 'Calendar No. 977'.

The Hearing Panel found and determined that respondent was guilty as charged and recommended to the Board of Regents that respondent's license to practice medicine in the State of New York be revoked.

Respondent was afforded an opportunity to appear before us in person and to be represented by an attorney.

On February 5, 1975 respondent appeared before us in person, acknowledged that he had been advised of his right to counsel, and indicated that he wished to represent himself. Respondent was permitted to have his wife and brother present with him. In addition thereto, in connection with the request of the respondent to have a hearing reporter present at our review of this matter, a hearing reporter, furnished by the Department, and two other hearing reporters, brought to our meeting by the respondent, were also present. Petitioner was represented by John J. O'Grady, Assistant Attorney General.

The request of the respondent to subpoena witnesses at our review of this matter was denied. In addition thereto, the motion of the respondent to dismiss the pending proceedings was denied and it was indicated to respondent that our Committee had proper jurisdiction and that the prior procedure as well as our procedure was proper and consistent with the Education Law.

We have carefully reviewed and considered the entire record, the papers submitted to us by respondent, the statements made before us, and the paper with an attached affidavit of the respondent submitted by him subsequent to our February 5, 1975 meeting.

We unanime sly recommend that the Board of Regents accept the findings and determination of the Hearing Panel of the Committee on Professional Conduct of the State Board for Medicine that respondent is guilty of the charges.

With respect to the measure of discipline to be imposed, we unanimously recommend that, under all of the circumstances herein, the Board of Regents modify the recommendation of the

Hearing Panel to the extent that respondent's license to practice as a physician in the State of New York be suspended upon the charges of which respondent was found guilty with leave to respondent to apply to the Regents Committee on Discipline for the termination of said suspension.

Upon any application by the respondent for the termination of the suspension of his license, respondent must submit written opinions from at least two independent psychiatrists, approved by the Department prior to any examination of the respondent by said psychiatrists, that respondent is fit to practice as a physician in the State of New York. The Regents Committee on Discipline reviewing said application may thereafter make whatever recommendation it may deem just and proper to the Board of Regents.

Respectfully submitted,

EMLYN I. GRIFFITH

DANIEL GUTMAN

GEORGE A. SPOHR, JR.

Chairman

March 10, 1975

### UNITED STATES COURT OF APPEALS for the Second Circuit

ELIAS LESTER, M.D.,

Petitioner-Appellant,

- against -

EMLYN I. GRIFFITH.

Respondent-Respondent.

Index No.

Affidavit of Personal Service

STATE OF NEW YORK, COUNTY OF

New York

SS ..:

1, James Steele. being duly sworn, depose and say that deponent is not a party to the action, is over 18 years of age and resides at 250 West 146th, Street, New York, New York That on the 19th day of June 1975 at 261 Madison Ave, N.Y. N.Y.

deponent served the annexed Approvis

upon

Louis J. Lefkowitz

in this action by delivering a true copy thereof to said individual the personally. Deponent knew the person so served to be the person mentioned and described in said papers as the Attorney(s) - herein,

Sworn to before me, this

day of

June

75

ROBERT T. BRIN NOTARY PUBLIC, State of New York No. 31 - 0418950 Qualified in New York County Imission Expires March 30, 1977



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